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**MAR 26 2008**

## FACSIMILE COVER SHEET

**Date:** March 26, 2008

**To:** Office of PCT Legal Administration – Derek A. Putonen  
United States Patent & Trademark Office

**Fax No.:** 571-273-8300 and 571-273-0459

**From:** Martha Rocha for Raymond M. Galasso

**Re:** RENEWED PETITION UNDER 37 CFR 1.47(a) and Petition Under 37 CFR 1.181  
In re Application of Stockton, et al.  
U.S. Application No.: 10/581,987  
PCT No.: PCT/US04/41597

**Number of Pages (including Cover Sheet):** 17

**Message:**

Dear Mr. Putonen - We are in receipt of the Decision on Renewed Petition Under 37 CFR 1.47(a) mailed March 14, 2008. Applicant includes at this time a signed statement from counsel certifying that the papers submitted on August 31, 2007 and papers submitted on February 28, 2008 represent a true and complete copy of the papers originally filed on August 31, 2007. Applicant has provided a timely response to the decision mailed July 2, 2007 as shown by the attached documents.

Thank you.

**CONFIDENTIALITY NOTE**

*The pages accompanying this facsimile transmission contain information from the law office of Galasso & Associates, LP and are confidential and privileged. The information is intended to be used by the individual(s) or entity(ies) named on this cover sheet only. If you are not the intended recipient be aware that reading disclosing copying distribution or use of the contents of this transmission is prohibited. Please notify us immediately if you have received this transmission in error at the number listed above and return the document to us via regular mail.*

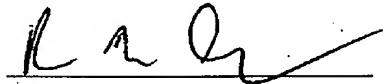
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MAR 26 2008

## STATEMENT OF RAYMOND M. GALASSO

I, Raymond M. Galasso of Galasso & Associates L.P., Austin, Texas, am a U.S. Citizen and I hereby make this statement of my own personal knowledge or on information and belief where so stated. If called as a witness, I could and would competently testify to the truth of the matters asserted herein.

On August 31, 2007, papers including a Renewed Petition under 37 CFR 1.47(a) and an original executed Declaration and Power of Attorney were filed with the United States Patent and Trademark Office with regards to US National Patent Application Serial No. 10/581,987 (PCT/US04/41597). On February 28, 2008, a true and complete copy of the papers originally filed on August 31, 2007 were submitted to the United States Patent and Trademark Office to be entered into the Office's records. I hereby certify and declare under penalty of perjury that the foregoing is true and correct. Executed at Austin, Texas, on this 26th day of March, 2008.

  
Raymond M. Galasso  
Reg. No. 37,832

14 MAR 2008

MAR 26 2008



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

Raymond M. Galasso  
Galasso & Associates, LP  
P. O. Box 26503  
Austin, TX 78755-0503

In re Application of  
STOCKTON, et al.  
U.S. Application No.: 10/581,987  
PCT No.: PCT/US04/41597  
Int. Filing Date: 13 December 2004  
Priority Date: 12 December 2003  
Attorney Docket No.: 1709.030002  
For: METHOD AND SYSTEM CONFIGURED FOR  
FACILITATING MANAGEMENT OF INTER-  
NATIONAL TRADE RECEIVABLES  
TRANSACTIONS

DECISION ON RENEWED  
PETITION UNDER  
37 CFR 1.47(a)

This decision is in response to the applicant's filing of 28 February 2008 in the United States Patent and Trademark Office (USPTO). The filing includes a copy of a renewed petition under 37 CFR 1.47(a) purportedly originally filed on 31 August 2007.

BACKGROUND

On 02 July 2007, applicant was mailed a decision dismissing applicant's petition under 37 CFR 1.47(a). Applicant was afforded two months to file any request for consideration.

On 28 February 2008, applicant filed the present petition.

DISCUSSION

As detailed in the decision mailed 02 July 2007, a petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(g), (2) factual proof that the missing joint investor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor. Applicant previously satisfied items 1-3 above. Applicant has presently provided a compliant declaration satisfying the remaining item. However, the renewed petition cannot be granted at this time as there is a question as to the timeliness of the response. Applicant's present claim that a response was originally filed on 31 August 2007 is not signed by a registered attorney or patent agent. In addition, while applicant has included a stamped return postcard showing a USPTO receipt date of 31 August 2007, applicant has not included a signed statement from counsel certifying that the papers submitted on 28 February 2008 represent a true and

MAR 26 2008

Application No.: 10/581,987

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complete copy of the papers originally filed on 31 August 2007. Pending resolution of these issues to assure the timeliness of the reply, it is not possible to grant applicant's renewed petition under 37 CFR 1.47(a) as the application is technically abandoned for failure to provide a timely response to the decision mailed 02 July 2007.

CONCLUSION

For the reasons discussed above, a decision on applicant's renewed petition under 37 CFR 1.47(a) is being held in abeyance pending the filing of a grantable petition under 37 CFR 1.181.

Any reconsideration on the merits of this petition must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a) and Petition Under 37 CFR 1.181."

Any further correspondence with respect to this matter should be directed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Derek A. Putonen  
Attorney Advisor  
Office of PCT Legal Administration  
Tel: (571) 272-3294  
Fax: (571) 273-0459

8/31/2007

1708 0002

RMG/mr

08/31/2007

1708.030002

RMG/mr

Date Mailed

In re U.S. National patent application of: Michael Stockton et al.

For: *Method And System Configured For Facilitating Management Of International Trade Receivables Transactions*

Patent Application Serial No: 10/581,987

Filed: June 7, 2006

I.A.: PCT/US04/41597

I.A. Filing Date: December 13, 2004

DOCKETED

Date: 8/31/07

By: [Signature]

Receipt is hereby acknowledged in the USPTO of the following in connection with the above referenced National patent application on the date stamped thereon:

- 1) Transmittal letter;
- 2) Renewed Petition under 37 CFR 1.47(a) (2pp);
- 3) Original executed Declaration and Power of Attorney (7pp)
- 4) Copy of Decision on Petition mailed July 2, 2007 (2pp); and
- 5) Confirmation Postcard. Please stamp date and return.

Express Mail No. EV656219102US

IAP6 Rec'd PCT/PTO 31 AUG 2007

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FROM: (PLEASE PRINT) CALASSO & ASSOCIATES LLP PO BOX 26503 AUSTIN, TX 78755-0503 1708.030002.US		TO: (PLEASE PRINT) Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, Virginia 22313-1450	
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MAR 26 2008

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of  
Michael Stockton et al.

U.S. Serial No. 10/581,987

International Application No.  
PCT/US04/41597

For: Method and System Configured For Facilitating Management of International  
Trade Receivables Transactions

Attention: Office of PCT Legal Administration  
Mail Stop PCT  
Commissioner for Patents  
Office of PCT Legal Administration  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

: NATIONAL PATENT APPLICATION  
: I.A. Filing Date: December 13, 2004  
: Priority Date: December 12, 2003  
: Group Art Unit: Not yet assigned  
: Examiner: Not yet assigned

CERTIFICATE OF MAILING by EXPRESS MAIL  
(37 C.F.R. §1.10)  
"EXPRESS MAIL" Mailing Label No. EV 656219102US  
Date of Deposit: August 31, 2007  
I hereby certify that this paper or fee is being deposited with  
the U. S. Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated  
above and is addressed to:

Attention: Office of PCT Legal Administration  
Mail Stop PCT  
Commissioner for Patents  
Office of PCT Legal Administration  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Type or Print Name Martha Rocha

Martha Rocha  
Signature

Attention: Office of PCT Legal Administration

TRANSMITTAL LETTER

Transmitted herewith for filing in the above referenced matter are the following items:

1. Renewed Petition Under 37 CFR 1.47(a) (2pp);
2. Original executed Declaration and Power of Attorney (7pp);
3. Copy of Decision on Petition mailed July 2, 2007 (2pp); and
4. Confirmation postcard. Please file stamp and return.

No fee is due. However, if Applicant is in error, the Commissioner is hereby  
authorized to charge the fees associated with this communication to Galasso &  
Associates Deposit account 50-3446 under Reference Number 1708.030002.

Respectfully submitted,

By: Raymond M. Galasso  
Raymond M. Galasso, Reg. No. 37,832

Galasso & Associates, LP  
P.O. Box 26503  
Austin, Texas 78755-0503  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of  
Michael Stockton et al.

U.S. Serial No. 10/581,987

International Application No.  
PCT/US04/41597For: Method and System Configured For Facilitating Management of International  
Trade Receivables Transactions

Attention: Office of PCT Legal Administration  
 Mail Stop PCT  
 Commissioner for Patents  
 Office of PCT Legal Administration  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

: NATIONAL PATENT APPLICATION

: I.A. Filing Date: December 13, 2004

: Priority Date: December 12, 2003

: Group Art Unit: Not yet assigned

: Examiner: Not yet assigned

## CERTIFICATE OF MAILING by EXPRESS MAIL

(37 C.F.R. §1.10)

"EXPRESS MAIL" Mailing Label No. EV656219102US

Date of Deposit: August 31, 2007

I hereby certify that this paper or fee is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Attention: Office of PCT Legal Administration  
 Mail Stop PCT  
 Commissioner for Patents  
 Office of PCT Legal Administration  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

Type or Print Name Martha Rocha

Signature

Dear Sir or Madam:

## RENEWED PETITION UNDER 37 CFR 1.47(a)

In response to the Decision on Petition mailed July 2, 2007, Applicant submits this Renewed Petition for reconsideration and respectfully request that the Office accept applicant's Renewed Petition to accept the present national stage application without the signature of joint inventor Will Ballard filed in the United States Patent and Trademark Office (USPTO).

In the Decision on Petition mailed July 2, 2007, the Office states "As detailed in the decision mailed 23 April 2007, a petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(g), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of

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*the non-signing joint inventor. Applicant previously satisfied items (1) and (3).*

*As to item (2), applicant has now filed evidence to show that Mr. Ballard was presented with a complete set of application papers and to date he has not responded with an executed oath or declaration. This period of non-response constitutes a refusal under 37 CFR 1.47. Thus applicant has satisfied this item.*

*Regarding item (4), while applicant has presently submitted a declaration executed by the remaining inventors on their own behalf, as well as, on the behalf of Mr. Ballard, the filed declaration does not comply with 37 CFR 1.497(a)(3) in that it does not provide at least the citizenship of Mr. Ballard."*

Applicant hereby submits a new executed declaration that complies with 37 CFR 1.497(a)(3) executed by the other inventors on their own behalf and on behalf of the non-signing inventor (Will Ballard). This new executed declaration provides the citizenship of Mr. Ballard.

Applicant believes the relevant documents are now in order to complete the requirements for acceptance. Applicant respectfully requests that the Office accept the Renewed Petition so that the present national stage application is accepted without the signature of joint inventor Will Ballard.

No additional Petition fee is required as indicated on said Decision on Petition mailed July 2, 2007. However, if Applicant is in error, the Commissioner is hereby authorized to charge the fees associated with this communication to Galasso & Associates Deposit account 50-3446 under Reference Number 1708.030002.

Respectfully submitted,

By:   
Raymond M. Galasso, Reg. No. 37,832

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02 JUL 2007

Raymond M. Galasso  
 Galasso & Associates, LP  
 P.O. Box 26503  
 Austin, TX 78755-0503

In re Application of  
 STOCKTON, et al.  
 U.S. Application No.: 10/581,987  
 PCT No.: PCT/US04/41597  
 Int. Filing Date: 13 December 2004  
 Priority Date: 12 December 2003  
 Attorney Docket No.: 1709.030002

For: METHOD AND SYSTEM CONFIGURED FOR  
 FACILITATING MANAGEMENT OF INTER-  
 NATIONAL TRADE RECEIVABLES  
 TRANSACTIONS



DECISION ON PETITION  
 UNDER 37 CFR 1.47(a)

This decision is in response to applicant's renewed petition to accept the present national stage application without the signature of joint inventor Wil Ballard filed 22 June 2007 in the United States Patent and Trademark Office (USPTO).

BACKGROUND

On 23 April 2007, applicant was mailed a decision dismissing applicant's petition under 37 CFR 1.47(a). Applicant was afforded two months to file any request for reconsideration.

On 22 June 2007, applicant filed the present renewed petition under 37 CFR 1.47.

DISCUSSION

As detailed in the decision mailed 23 April 2007, a petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(g), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor. Applicant previously satisfied items (1) and (3).

As to item (2), applicant has now filed evidence to show that Mr. Ballard was presented with a complete set of application papers and to date he has not responded with an executed oath or declaration. This period of non-response constitutes a refusal under 37 CFR 1.47. Thus applicant has satisfied this item.

Regarding item (4), while applicant has presently submitted a declaration executed by the remaining inventors on their own behalf, as well as, on the behalf of Mr. Ballard, the filed

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Application No.: 10/581,987

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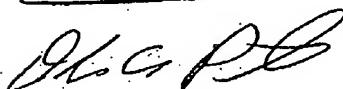
declaration does not comply with 37 CFR 1.497(a)(3) in that it does not provide at least the citizenship of Mr. Ballard.

**CONCLUSION**

For the reasons stated above, applicant's renewed petition under 37 CFR 1.47(a) is **DISMISSED**.

Any reconsideration on the merits of this petition must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a)." No additional petition fee is required. Extensions of time may be obtained under 37 CFR 1.136(a).

Any further correspondence with respect to this matter should be directed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



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